

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Capstone Turbine Corporation

Application No./Patent No.: 6,834,226

Filed/Issue Date: 12/21/2004

Titled: Multiple Control Loop Acceleration of Turboalternator After Reaching Self-Sustaining Speed Previous to Reaching Synchronous Speed

Capstone Turbine Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
 2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
 3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Anthony A. Hartzheim

To: Elliott Energy Systems, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 014991, Frame 0550, or for which a copy thereof is attached.

2. From: Elliott Energy Systems, Inc.

To: Calnetix Power Solutions, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 021411, Frame 0554, or for which a copy thereof is attached.

3. From: Calnetix Power Solutions, Inc.

To: Capstone Turbine Corporation

The document was recorded in the United States Patent and Trademark Office at
Reel 023963, Frame 0927, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or currently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Lucian Wayne Beavers
Signature

Lucian Wayne Beavers

Printed or Typed Name

4/8/10
Date

Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.